



**UBS AG**  
Badenerstrasse 574/C  
Postfach, PO Box, 8098 Zürich

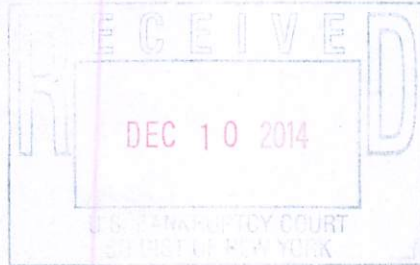
OQ9C / O5GC  
C/A Special Transaction / Default MGMT

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**BY REGISTERED MAIL**

U.S. Bankruptcy Court for the  
Southern District of N.Y.  
One Bowling Green  
New York, N.Y. 10004  
USA



**Message**

December 04, 2014

**subject Claim Transfer Agreement Lehman Program Securities**

On behalf of                      Attention: Clerk of the Court  
you are receiving              Evidence of partial transfer of claim

☐ for your information  
☒ for your records  
☐ as agreed  
☐ please complete

☐ returned with thanks  
☐ please comment  
☐ please sign  
☐

☐ please return  
☒ please confirm receipt  
☒ please process

Remarks  
TOCE120   EUR 8'000.00   XS0244093927  
Transferor: HSBC MONACO / Claim Number: 56649  
Transferee: UBS AG, Bahnhofstrasse 45, CH-8001 Zurich (Switzerland)

Yours sincerely,

UBS AG

Matthias Mohos  
Associate Director

B210A (Form 210A) (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

DEC 10 2014

Case No. 08-13555

**PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the partial transfer, other than for security, of an undivided interest in the claim referenced in this evidence and notice.

**UBS AG, SWITZERLAND**

Name of Transferee

**HSBC PRIVATE BANK MONACO S.A.**

Name of Transferor

Name and Address where notices to transferee should be sent:

Court Claim # :56649

UBS AG  
Bahnhofstrasse 45  
8001 Zurich, Switzerland  
Attn: Mr. Hugo Koller  
+41 44 235 37 36 - hugo.koller@ubs.com

**Total Amount of Claim Filed with respect to  
ISIN: XS0244093927**

**Amount of Claim Transferred:**  
**EUR 80000**

(equivalent to USD 114112 )+ indeterminate amount of interests

**ISIN/CUSIP: XS0244093927**

**Blocking Number: 6060764**

**Date Claim Filed: 27 October 2009**

Name and address where transferee payments should be sent (if different from above):

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: HSBC PRIVATE BANK MONACO S.A.  
Transferor/Transferor's Agent

Date:

G. VICENTE

27.10.2014

E. DUPUY

By: UBS AG, SWITZERLAND  
Transferee/ Transferee's Agent

Date:

Matthias Mohos

OQ9C/O5GC - 60754

Stephan Gfeller

OQ9C/O5GC-56283

*INTERNAL - Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571.*

TOCE120



Form 210B (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

NOTICE OF PARTIAL TRANSFER OF CLAIM  
OTHER THAN FOR SECURITY

Claim No. 56649 was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Partial Transfer of Claim Other than for Security in the clerk's office of this court on October [3], 2012.

HSBC PRIVATE BANK MONACO S.A.

Name of Alleged Transferor

Address of Alleged Transferor:

17 AVENUE D'OSTENDE

MC 98000 MONACO

Attn: GEROME VICENTE

Phone: +377 93 15 24 23

Email: gerome.vicente@hsbcpb.com

UBS AG, SWITZERLAND

Name of Transferee

Address of Transferee:

UBS AG

Bahnhofstrasse 45

8001 Zurich, Switzerland

Attn: Mr. Hugo Koller

+41 44 235 37 36 - hugo.koller@ubs.com

**~ DEADLINE TO OBJECT TO TRANSFER ~**

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty-one (21) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
CLERK OF THE COURT

TOCF 120

INTERNAL